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NGU1-A94A

JUN 17 2010

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Patent of:

NGUYEN

Patent No.: 6,149,165

Issued: 11/21/2000

For: IMPROVED COLLET ASSEMBLY  
AND MANUFACTURING PROCESS

Examiner: Mark Henderson

JUN 24 2010

Group Art Unit: 3722

OFFICE OF PETITIONS

San Diego, California 92108

06/22/2010 DALLEN 00000006 6149165

01 FC:1599

2130.00 OP

PETITION FOR REINSTATEMENT OF PATENT EXPIRED UNINTENTIONALLY  
UNDER 37 CFR 1.378(b)

Attn: Office of Petitions  
 Honorable Commissioner of  
 Patents and Trademarks  
 Box DAC  
 Washington, D.C. 20231

09/29/2011 CKHLOK 00000015 6149165

01 FC:2554	65.00	OP
02 FC:2552	1240.00	OP
03 FC:1599	1640.00	OP
04 FC:1599	3020.00	OP

The above-identified patent became expired for failure to timely file the First Maintenance Fee.

## Facts:

- On May 27, 2004, the maintenance fee for the subject Patent was filed via facsimile to be charged to the undersigned credit card;
- On or about May 2005, the undersigned became aware that the Maintenance Fee payment had not been recorded, and thereafter filed a petition to reinstate the patent. No reply was received by the undersigned to said petition.
- The second maintenance fee became due on May 21, 2008; it was not paid without intent to abandon.
- Recently, in March 2010, the undersigned became aware that the subject events had occurred and that neither the First, nor the Second Maintenance Fee payments had been received. Neither the Patent Owner, nor the undersigned, intended the subject Patent to become expired.

Adjustment date: 06/22/2010 CKHLOK  
 06/22/2010 DALLEN 00000006 6149165  
 -2130.00 OP  
 01 FC:1599

Adjustment date: 09/29/2011 CKHLOK  
 07/18/2011 CKHLOK 00000001 6149165  
 -4290.00 OP  
 04/02/2011 CKHLOK 00000014 6149165  
 -4290.00 OP  
 01 FC:2551  
 455.00 OP

5. This Petition is being timely filed after Patentee has become aware that the Patent has become expired.

**APPLICANT HEREBY PETITIONS FOR REINSTATEMENT OF THIS PATENT**

**NOTE:** A grantable petition requires the following items:

- (1) Maintenance fee, plus any surcharges; and
- (2) A showing that the entire delay was unintentional.

## 1. Maintenance Fee plus surcharges (small entity) :

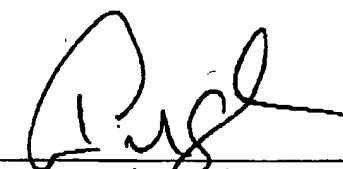
First Maintenance Fee: \$490  
Surcharge after expiration: \$1640  
Second Maintenance Fee: \$1240  
Surcharge after expiration: \$1640

Total Fees: \$5010

2. Statement. The entire delay in filing the instant petition was unintentional. As to the first Maintenance Fee, it was paid on time by the undersigned, and was immediately petitioned to correct upon notice that it had not been received. No reply to that petition was filed. As to the non-payment of the second maintenance fee, the Patent Owner is not in possession of records to demonstrate why this fee was not paid. Apparently since the First Maintenance Fee was not received (and apparently the Petition was not approved), no Statement was transmitted by the USPTO regarding the Second Maintenance Fee. Furthermore, no notice of non-payment of the Second Maintenance Fee was received by Patent Owner or the undersigned.

The undersigned has the final Maintenance Fee docketed in its docketing system for payment not later than 5/21/2012.

June 17, 2010



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